# **Sequence to Sequence Coreference Resolution**

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### Abstract

Until recently, coreference resolution has been a critical task on the pipeline of any NLP task involving deep language understanding, such as machine translation, chatbots, summarization or sentiment analysis. However, nowadays, those end tasks are learned end-to-end by deep neural networks without adding any explicit knowledge about coreference. Thus, coreference resolution is used less in the training of other NLP tasks or trending pretrained language models. In this paper we present a new approach to face coreference resolution as a sequence to sequence task based on the Transformer architecture. This approach is simple and universal, compatible with any language or dataset (regardless of singletons) and easier to integrate with current language models architectures. We test it on the ARRAU corpus, where we get 65.6 F1 CoNLL. We see this approach not as a final goal, but a means to pretrain sequence to sequence language models (T5) on coreference resolution.

#### **1** Introduction

Coreference resolution is a Natural Language Processing (NLP) task which consists on identifying and clustering all the expressions referring to the same real-world entity in a text. NLP tasks that include language understanding such as text summarisation (Steinberger et al., 2016; Kopeć, 2019), chatbots (Agrawal et al., 2017; Zhu et al., 2018), sentiment analysis (Krishna et al., 2017) or machine translation (Werlen and Popescu-Belis, 2017; Ohtani et al., 2019) can benefit from coreference resolution. And until recently, coreference resolution has been a critical task on the pipelines of those systems.

However, with the recent rising trend of building end-to-end deep neural networks, for any NLP task where the data available in that language or domain is huge, current models are able to learn the end task without any explicit training on coreference resolution. This is even more evident in the case of the huge unsupervisedly pretrained language models (LM) that are already able to resolve coreference (Clark et al., 2019; Tenney et al., 2019), as BERT (Devlin et al., 2019), RoBERTa (Liu et al., 2019), T5 (Raffel et al., 2019), or GPT3 (Brown et al., 2020) which are used to boost results on any downstream task.

Those pretrained language models have also improved notably the results obtained at coreference resolution. Combining the SotA neural coreference resolution system (Lee et al., 2017) at the time with pretrained language models (ELMo, BERT, SpanBERT) improves results by a large margin.

Despite coreference resolution was already useful in NLP end tasks before the irruption of deep learning in NLP, and getting very significant improvements on the results with it, nowadays most of the tasks that require deep language understanding, are approached without having coreference resolution in mind.

Src: Even the smallest person change the course history can of Trg: (0) 0) (1)(2)|1)

Table 1: Example of sequence to sequence approach for coreference resolution.

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In this paper, we introduce a new approach to solve coreference resolution as a sequence to sequence task (as shown in Table 1) using a Transformer (Vaswani et al., 2017), that opens a path towards unifying the approaches used in coreference resolution with the trending pretrained LMs and other NLP tasks, while simplifying the neural architecture used for coreference resolution.

We test our approach on the English ARRAU corpus (Uryupina et al., 2020), which includes singletons. We train our model on coreference resolution as a sequence to sequence task, where the neural network learns to produce the coreference relations as output from the raw text in the source.

In the following Section 2 we review the state of the art of the field. In Section 3 we describe how we approached coreference resolution as a sequence to sequence task, we present the neural architecture and corpora we used. In Section 4 we report our results, and lastly, we present our conlusions and future work in Section 5.

### 2 State of the Art

The SotA for English coreference resolution, improved a lot since the revolution of deep learning in NLP. The first end-to-end neural model (Lee et al., 2017) obtained big improvements over previous models. Since then, pretrained LMs improved a lot those results; adding ELMo (Peters et al., 2018), BERT (Devlin et al., 2019) and SpanBert (Joshi et al., 2020) to the model, improved by a large margins the SotA at the moment (Lee et al., 2018; Kantor and Globerson, 2019; Joshi et al., 2019; Joshi et al., 2020). Furthermore, we would like to underline different approaches as reinforcement learning (Fei et al.,

2019) and neural MCDM and fuzzy weighting techniques (Hourali et al., 2020), which improved results.

There have been only two works which already have tried to combine language models and coreference resolution at training. In the first one, T5 (Raffel et al., 2019), they use coreference resolution among other tasks to train a neural language model on text to text, but the coreference task is approached as a simple binary mention-pair task, which does not reflect all the advances done at resolving coreference. In the second one, CorefQA (Wu et al., 2020), they adress coreference resolution as query-based span prediction for which they convert coreference resolution into a QA task, where the model has to find the coreferential mentions in the text. Although they get the best results obtained to this day, their approach still uses a windowing technique of length 512, and needs to create questions automatically from the text.

Models	F1
(Lee et al., 2017)	68.8
(Lee et al., 2018)	73.0
(Fei et al., 2019)	73.8
(Kantor and Globerson, 2019)	76.6
(Joshi et al., 2019)	77.1
(Joshi et al., 2020)	79.6
(Hourali et al., 2020)	80.0
(Wu et al., 2020)	83.1

Table 2: The state of the art for English coreference resolution: F1 scores at CoNLL metric, for Ontonotes/CoNLL-2012 dataset.

We should keep in mind that, apart of the well studied English language, there are lots of other less researched languages. Yet we already have neural models for some of those languages: Polish (Nitoń et al., 2018), Japanese (Shibata and Kurohashi, 2018), French (Grobol, 2019), Basque (Urbizu et al., 2019), Telegu (Annam et al., 2019), Russian (Sboev et al., 2020) Persian (Sahlani et al., 2020) and cross-linguals (Cruz et al., 2018; Kundu et al., 2018) with varied results depending on corpus sizes and architectures.

#### **3** Sequence to Sequence Coreference Resolution

Coreference resolution has been historically divided in two subtasks. The first one is mention detection, where possible candidates for a mention are located in the text. The second one would be to find those which have coreferential relations, among the mentions. This second task has been approached as a

clustering problem, where mention-pair models evolved into entity-mention models, and their respectives ranking models. Some of this approaches have issues with making the correct global decisions, and those who handle this more appropriately, have higher computational cost. In the following subsection, we present our approach, which solves these two subtasks at once in a simpler way.

#### 3.1 Our Approach

There are many ways to annotate or indicate coreference relations on a text, such as using 2 columns, which was used on the Ontonotes corpus (Pradhan et al., 2007) for the CONLL task (Pradhan et al., 2011; Pradhan et al., 2012). On the left we have the raw text word by word, and on the right, the coreference relations expressed in a parenthetical structure, were parenthesis are used to delimitate mentions, and numbers to refer the coreference clusters that the mentions belong.

Text:	Coreference:						
you	(0)	•	Source:	You	love	me	
love	_		Target:	(0)	-	(1)	
me	(1)	Tab	ole 4: Sequ	ience to	o seque	ence tas	sk.

Table 3: Two column annotation.

This annotation system shows that the task is similar to sequence-labeling tasks, where the labels of the second row are not discrete. To handle this problem, we propose a sequence to sequence approach. In source we would have the raw text, and in the target, the coreference annotation corresponding to the source text in the parenthetical structure.

To make the task easier to learn, as there are many equivalent ways to represent the same coreference relations, we rewrite all the numbers referring to coreference clusters in the training dataset, with ascendent numbers starting from 0, from left to right, keeping the coreference relations.

#### 3.2 Transformer Model

We choose the architecture of Transformer, as it gives good results for many sequence to sequence tasks. Although keeping source and target sequences of the same length helps the model to create the outputs of the correct length, this creates the problem of huge vocabularies in source and target, which makes training the model harder, and more memory consuming.

To solve this issue, we use fixed vocabularies on source and target sequences. On source, we use BPE (Bojanowski et al., 2017) to segment words in subword units, with which we get a small closed vocabulary of 16K tokens. On target, we divide the labels of coreference resolution which contains more than one coreference relation within it, so that we avoid conplex labels, as  $(8)|122\rangle|68\rangle|128\rangle$ , which are hard to learn correctly:  $(8) | 122\rangle | 68\rangle | 128\rangle$ . Doing this, we decrease the size of the target vocabulary significantly (1.7K).

Src:	Even	the	small@@	est	person	can	change	the	course	of	history		
Trg:	(0	-	-	0)	-	_	(1	-	-	(2)		1)	-

Table 5: Example of source and target sequences.

As we can see in the example above, the alignment that we got previously is gone, so the model will have to learn to align source and target tokens, which a Transformer should do easily, as seen in tasks such as machine translation with this architecture. Furthermore, with those changes the source and target vocabularies sizes decrease a lot, making easier to understand the text and produce correct target tokens.

We do not use any pretrained word embeddings or LMs, or any other linguistic, distance or speaker features. We have choosen fairseq implementation of the Transformer (Ott et al., 2019) with standard hyperparameters. We set the max length of the source and target sequences at 1024. As coreference resolution is a document level task, it might happen that the document that we want to process has more than 1024 tokens in source or target after applying BPE and labels division. To handle that, a model with

longer sequences should be trained (increasing significantly memory requirements), or a windowing strategy could be used. But we do not try any of this here, to keep computational costs low<sup>1</sup>.

### 3.3 Datasets

We tested our approach on the ARRAU corpus (Uryupina et al., 2020), an English dataset which includes singletons. They had been ignored due to the division on mention detection and clustering tasks, and the specific corpora made for the second one. We train our Transformer model just to carry out both tasks at once. We used all coreference relations of the dataset. The corpus has 350K words, and its already divided on train, dev and test subsets.

As we do not add any pretrained word embeddings or any LMs to the model, the ARRAU corpus is not big enough to learn the task of language understanding in the encoder part and it has a limited vocabulary in the training. Thus, we used an auxiliary corpus for the training. We chose PreCo corpus, which is an English coreference corpus of over 10M words, which also includes singletons (Chen et al., 2018). Both datasets were converted to the mentioned two column format from their respective enriched annotations.

#### 3.4 Data Augmentation

We used data augmentation to increase the amount of training instances. For this purpose, we took all the combinations of consecutive sentences for the training. Given the document  $S_A - S_Z$ , where S is a sentence:  $S_A$ ,  $S_A$ - $S_B$ , ...,  $S_A$ - $S_B$ - $S_C$ -...- $S_Z$ ;  $S_B$ ,  $S_B$ - $S_C$ , ...,  $S_B$ - $S_C$ - $S_D$ -...- $S_Z$ ; ...;  $S_Y$ ,  $S_Y$ - $S_Z$ ;  $S_Z$ .

With this technique, we do not improve much the dataset for source sequences, as it would be the same sentences repeated in different lengths. However, the repeated parts of the sequences in the source, would have their coreference relations represented by different numbers in the target sequences:

$S_A$ - $S_B$ - $S_C$ Src:	You	love	cats	•	Ι	love	cats		My	dog	hates	cats	
$S_A$ - $S_B$ - $S_C$ Trg:	(0)	-	(1)	_	(2)	-	(1)	_	(3   (2)	3)	_	(1)	_
$S_B$ - $S_C$ Src:					Ι	love	cats		My	dog	hates	cats	•
$S_B$ - $S_C$ Trg:					(0)	-	(1)	-	(2   (0)	2)	-	(1)	-
$S_C$ Src:									My	dog	hates	cats	•
$S_C$ Trg:									(0   (1)	0)	-	(2)	-

Table 6: Training sequences after data augmentation, and its effect on the target cluster numbers.

Furthermore, having sequences of a single sentence in the training, makes the beginning of the learning process easier. Later, the model will be able to learn to resolve coreference for whole documents at once.

### 3.5 Post-processing

Once we get the output prediction sequences, we need to post-process a bit the output with the 3 following processes. First, we correct the unclosed (or unopened) patenthesis or mentions, deleting them. Then, we group the different coreference relations referring to the same token again (just removing the space between each of the | in the output). Finally, we correct the length of the output sequence, removing tokens, or adding extra "\_" tokens at the end until it matches the length of the source text. We can see the changes made to the predicted sequence at post-processing in the following example:

Src:	Even	the	small@@	est	person	can	change	the	course	of	history			
Trg:	(0	_	_		0)	_	_	(1	_	_	(2)		1)	_
Pred:	(0	_	_		0)	-	_	(1	(2	_	(3)		1)	
Post:	(0	-	-		0)	-	-	(1	_	-	(3) 1)	-		

Table 7: Example of the post-processing applied to the predicted sequences.

<sup>&</sup>lt;sup>1</sup>We trained the model on a single Nvidia Rtx 2080Ti GPU (11GB) for 24h.

### 4 Results

For the evaluation of our new sequence to sequence approach and the transformer model we built, we use the coreference official scorer (Pradhan et al., 2014) to get the results of the most used metrics on the task on the ARRAU testing split. We obtain 77.2 F1 at mention detection (MD), 64.9 F1 at MUC, 66.5 F1 at  $B^3$ , 65.3 F1 at CEAF<sub>e</sub> and 65.6 F1 on the CoNLL metric. They are quite good results for a simple approach which does not use any external information as pretrained word embeddings or LMs, or any linguistic, distance or speaker features other than the auxiliary dataset we used, which just added the amount of raw text and its coreferential relations we had. Our model is able to detect most of the mentions, including singletons, and it does cluster correctly correferential mentions to a certain extent, including those that are at a very long distance<sup>2</sup>.

	MD	MUC	$B^3$	$CEAF_m$	$CEAF_e$	BLANC	LEA	CoNLL
This work	77.2	64.9	66.5	66.7	65.3	59.9	58.0	65.6
(Yu et al., 2020)		78.2	78.8		76.8			77.9

Table 8: Our F1 results in comparison with previous best results on the ARRAU dataset.

The best results on the ARRAU dataset are those presented at Yu et al. (2020). Results obtained in this work are not completely comparable with our work, as we do not process documents longer than 1024 tokens ( $\sim$ 800 words, keeping 72% of the documents), while they only test their system with the RST subset of the test set. However, we include the comparison in table 8, to put our results into context, and as we can see, we are not able to match their results.

## 5 Conclusions and Future Work

All in all, in this work we present a novel approach, as far as we know, the first time where coreference resolution has been learned as a simple sequence to sequence task, using just a Transformer, an architecture that rules the NLP field. We got 65.6 F1 CoNLL on the ARRAU corpus, and despite not getting the best results on the dataset, we proved that a Transformer is enough to learn the task, from raw text, without any features or pre-trained word-embeddings or LMs. The results obtained are quite good, as this approach have room for improvements at architecture level, hyperparameter tuning, and the integration of pretrained LMs. This approach may help at unifing the coreference resolution with other NLP models, where this task could be used at pretraining sequence to sequence LMs (T5). Our code and model are available at: https://github.com/gorka96/text2cor.

There are many aspects of this approach worth to continue researching. To begin with, we limited the maximum length of the sequences to 1024 tokens for simplicity, nevertheless, to be able to process longer documents, we will need to train Transformer models with longer maximum positions. To handle the increment in memory and computational costs, architectures that do not use full attention as reformer (Kitaev et al., 2020) or longformer (Beltagy et al., 2020) could be considered. Moreover, we would like to verify that this method is as universal as we said here, trying datasets without singletons, low-resourced languages, and multilingual or cross-lingual settings. Finally, using this approach to train a sequence to sequence language model like T5, would be interesting.

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<sup>&</sup>lt;sup>2</sup>Sample of the output: https://github.com/gorka96/text2cor/blob/main/pred\_example.txt

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